STRAC Affiliations Questionnaire

This document is designed to help you determine whether or not you are affiliated with, or receiving funding or in-kind support from, a <u>Named Research Organization</u> as per the <u>Policy on</u> <u>Sensitive Technology Research and Affiliations of Concern</u> (STRAC). The questionnaire is not considered part of your grant application package and should not be submitted to the funding organization.

Prior to filling out this questionnaire, you must first determine if your grant application will be aiming to advance a <u>Sensitive Technology Research Area</u>. If it is not, then the STRAC policy does not apply to this grant application and you do not need to determine if you are affiliated with a Named Research Organization.

How to use this Questionnaire

Once completed, if you have answered **NO** to all questions in both **PART 1** and **PART 2** of this questionnaire, you are <u>NOT</u> considered to be affiliated with or in receipt of funding or in-kind support from a Named Research Organization for the purposes of the STRAC policy.

If you answer **YES** to any of the questions in **PART 1** of this questionnaire, you must terminate the affiliation and/or funding/in-kind support prior to applying for federal research funding where your grant application aims to advance a Sensitive Technology Research Area as defined by the <u>Sensitive Technology Research Areas List</u>.

If you have answered **NO** to all of the questions in **PART 1** of this questionnaire, but have answered **YES** to any of the questions in **PART 2**, the best course of action is to contact your institution's research security personnel or the Research Security Centre (<u>researchsecurity-securiteenrecherche@ps-sp.gc.ca</u>) for more advice and information, to help you determine whether you are affiliated with, or in receipt of funding or in-kind support from a Named Research Organization.

PART 1

Q1. Am I employed by an institution on the Named Research Organizations List?

Yes 🗌 🛛 No 🗌

Q2. Do I currently hold a titled position, including honorary titled positions,¹ at an institution on the Named Research Organizations List?

Yes 🗌 🛛 No 🗌

¹ Examples can include but are not limited to: Postdoctoral researchers; Adjunct, Associate, Assistant, Full, or Distinguished professors (or their equivalents in other countries); Professors Emeriti; Lecturer; Visiting Scholars; Academicians; etc.

Q3. Am I currently being paid or receiving funds (e.g. travel expenses) to guest lecture at an institution on the Named Research Organizations List?

Yes 🗌 🛛 No 🗌

Q4. Do I currently have an arrangement to conduct research² (either in-person or remotely) at an institution on the Named Research Organizations List?

Yes 🗌 🛛 No 🗌

Q5. Am I a current student or postdoctoral fellow at an institution on the Named Research Organizations List?

Yes 🗌 🛛 No 🗌

Q6. Am I currently receiving funding or grants from, being compensated by, or being paid to conduct research for, an institution on the Named Research Organizations List?

Yes 🗌 🛛 No 🗌

Q7. Am I currently receiving and/or using goods, equipment, materials, and/or supplies from an institution on the Named Research Organizations List to conduct my research?

Yes 🗌 🛛 No 🗌

Q8. Am I currently receiving any professional services³ that have been performed by, paid for, subsidized by, or sponsored by an institution on the Named Research Organization List?

Yes 🗌 🛛 No 🗌

Q9. Am I currently being given access to use the facilities (for example, office space, laboratory access, or research instruments) of an institution on the Named Research Organizations List to conduct my research?

Yes 🗌 🛛 No 🗌

PART 2

Q10. Am I currently receiving licenses for software or access to databases from an institution on the Named Research Organizations List?

Yes 🗌 🛛 No 🗌

² The *Tri-Agency Framework: Responsible Conduct of Research* <u>defines</u> "Research" as an undertaking intended to extend knowledge through a disciplined inquiry or systematic investigation.

³ This can include but is not limited to: taxi or driver services; laboratory assistants; personal assistants; legal representation; personal finance or accounting support; IT support; etc.

Q11. Am I currently receiving financial or in-kind support from the organizers of an event (for example, a conference or symposium) where one or more of the organizers or sponsors is an institution on the Named Research Organizations List?

Yes 🗌 🛛 No 🗌

Q12. Am I a member of an organization or association (for example, a research consortium or society) that offers financial or in-kind support to its members, and where some members of the governing body may be operating on behalf of an institution on the Named Research Organizations List?

Q13. Am I currently receiving any other in-kind support from an institution on the Named Research Organizations List that is not enumerated in Q7-Q12?

Yes 🗌 🛛 No 🗌

Q14. Do I have any other type of current and ongoing relationships to an institution on the Named Research Organizations List that were not listed in the above questions?

Yes 🗌 🛛 No 🗌

<u>Please note</u>: For the purposes of the Policy, <u>co-publishing</u> an article with an individual affiliated with, in receipt of funding from, or in-kind support from a Named Research Organization does not mean that you are also affiliated with that institution. Affiliations are not transferable in this manner. Similarly, having current or past working relationships with other individuals who may be affiliated with a Named Research Organization also does not mean that you are affiliated with a Named Research Organization. For more information, please refer to the <u>Frequently Asked Questions</u> webpage for the Policy.