

National Institute of Health Research Security Requirements

What you need to know

Research Security Division
Research Services



UNIVERSITY OF
CALGARY

NIH Research Security Requirements

- The National Institute of Health (NIH), has introduced requirements to secure research and protect against undue foreign influence.
- All applicants named on a grant application must disclose past and current forms of research funding, in-kind support, and research collaborations.
- Failure to disclose funding and in-kind benefits, affiliations, and collaborations in funding applications and associated documents can impact your ability and that of all UCalgary researchers to receive US research funding in the future.

Protecting Sensitive Data & Information

- Recipients of NIH funds must protect confidential data and take action to prevent the inadvertent disclosure, release, or loss of sensitive information.
- Personally identifiable, sensitive, and confidential information about NIH-funded research must not be stored on portable electronic devices.
- If portable electronic devices must be used, they should be encrypted to safeguard data and information.
- See also [Public Policy Requirements & Objectives-Federal Information Security Management Act](#).

What Activities & Affiliations Do I Need to Disclose?

- Applicants should identify any existing, previous, and potential affiliations and/or compensation that could impact research integrity, present financial conflict of interests, and/or result in overlapping research commitments.
- Disclosures of current and past funding and affiliations should be made in the Biographical Sketch included in the NIH application, and in the Other Support document (requested after the initial application is submitted).
- Projects that are under consideration (e.g. other funding applied for but not yet received) must also be disclosed.
- Disclosures should include but are not limited to:
 - Education history.
 - Academic, professional, or institutional appointments (including paid and unpaid, full-time and part-time).
 - Consulting relationships.
 - Honours.
 - Financial support (including Tri-Council funding, non-profit or private sector entities, foreign governments, funding from other institutions, etc.).
 - In-Kind or non-financial support (non-monetary research contributions from entities other than your home institution such as laboratory or office space, equipment, supplies, employees, scientific materials, travel for academic purposes). Non-financial resources provided by entities other than the UCalgary are of particular interest to NIH.
 - Participation in a foreign talent program. Note: US funding agencies prohibit participation in malign foreign talent programs.
 - Students, post-doctoral researchers, and visiting scholars funded by an external entity.

Additional Disclosure Requirements

- Consult [the NIH Disclosure Requirements Matrix](#) for more information on what to disclose.
- NIH applicants are responsible for notifying NIH of any changes to their disclosures up to the time of the award. If your application is successful, you must notify NIH of any changes throughout the duration of the award.
- Consult the [NIH Disclosure FAQs](#) for more information on required disclosures.
- If you are not sure about what to include in your disclosures, have concerns about how an affiliation may impact your ability to receive funding, or have new information to disclose since submitting your application, contact Research Security at researchsecurity@ucalgary.ca